



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,600	02/11/2002	Jinglin Li	061765.00465 (3163/01/US)

22907
 BANNER & WITCOFF
 1001 G STREET N W
 SUITE 1100
 WASHINGTON, DC 20001

CONFIRMATION NO. 2753

FORMALITIES LETTER



OC000000007588509

Date Mailed: 03/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

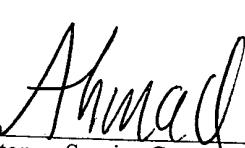
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

06/10/2002 MBIZINES 00000015 190733 10072600

01 FC:101 740.00 CH
 02 FC:105 130.00 CH



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Jinglin LI et al.

Serial No.: 10/072,600

Filed: February 11, 2002

For: **METHOD OF PREPARING ENANTIOMERICALLY-ENRICHED
TETRAHYDROBENZOTHIOPINE OXIDES**

Atty. Docket No.: 161765.00465
(S0-3163/1/US/DIV)

Group Art Unit: 1626

Examiner: TBA

RESPONSE TO NOTICE TO FILE MISSING PARTS AND AMENDMENT

Commissioner for Patents
Washington, D. C. 20231

Sir:

Please enter this paper into the file of the above-identified application. The Commissioner is hereby authorized to charge our Deposit Account for the \$870 fee indicated due in the Notice to File Missing Parts dated March 6, 2002. The Commissioner is also hereby authorized to charge a fee of \$110 for a one-month extension of time from May 6, 2002 to and including June 6, 2002. Accordingly, a total fee of \$980 is to be paid.

Other than the \$980 fees noted above, no additional are believed to be due. However, should any additional fees be required or an overpayment of fees made, the Commissioner is hereby authorized to debit or credit our Deposit Account No. 19-0733, as necessary.

IN THE CLAIMS:

Please add new claims 65 and 66 as follows.

65. (New) The method of claim 1, wherein said cyclizing step is performed in the presence of a base.

66. (New) The method of claim 65, wherein said base is potassium t-butoxide.

REMARKS

Claim 1 and new claims 65-66 are pending. Claims 65 and 66 correspond to previously canceled original claims 4 and 5, respectively. In view of the restriction requirement imposed by the Examiner in the parent application wherein, originally, claims 1 and 4-5 were part of Group

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U.S. PATENT & TRADEMARK OFFICE FEES TRANSMITTAL JUN 06 2002 for FY 2002																																							
<small>Patent fees are subject to annual revision.</small>																																							
<small>Applicant claims small entity status. See 37 CFR 1.27</small>																																							
TOTAL AMOUNT OF PAYMENT	(\$) 980																																						
METHOD OF PAYMENT (check all that apply)																																							
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<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																							
FEES CALCULATION																																							
1. BASIC FILING FEE																																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Large Entity</th> <th style="width: 30%;">Small Entity</th> <th colspan="2" style="width: 40%; text-align: center;"><u>Fee Description</u></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>740</td> <td>201</td> <td>370</td> <td>Utility filing fee</td> <td style="text-align: right;">740</td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td style="text-align: right;"> </td> </tr> <tr> <td>107</td> <td>510</td> <td>207</td> <td>255</td> <td>Plant filing fee</td> <td style="text-align: right;"> </td> </tr> <tr> <td>108</td> <td>740</td> <td>208</td> <td>370</td> <td>Reissue filing fee</td> <td style="text-align: right;"> </td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td style="text-align: right;"> </td> </tr> </tbody> </table>		Large Entity	Small Entity	<u>Fee Description</u>		Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	740	201	370	Utility filing fee	740	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee	
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<small>**or number previously paid, if greater; For Reissues, see above</small>																																							
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METHOD OF PAYMENT (check all that apply)		FEES CALCULATION (continued)					
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Deposit Account Number 19-0733	Deposit Account Name Banner & Witcoff, Ltd.	Large Entity	Small Entity				
		Fee Code 105 127	Fee (\$) 130 50	Fee Code 205 227	Fee (\$) 65 25	Fee Description	
						Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet.	
		Fee Code 139 147 112	Fee (\$) 130 2,520 920*	Fee Code 139 147 112	Fee (\$) 130 2,520 920*	Non-English specification For filing a request for reexamination Requesting publication of SIR prior to Examiner action	
		Fee Code 113	Fee (\$) 1,840*	Fee Code 113	Fee (\$) 1,840*	Requesting publication of SIR after Examiner action	
		Fee Code 115 116	Fee (\$) 110 400	Fee Code 215 216	Fee (\$) 55 200	Extension for reply within first month Extension for reply within second month	
		Fee Code 117 118	Fee (\$) 920 1,440	Fee Code 217 218	Fee (\$) 460 720	Extension for reply within third month Extension for reply within fourth month	
		Fee Code 128	Fee (\$) 1,960	Fee Code 228	Fee (\$) 980	Extension for reply within fifth month	
		Fee Code 119 120 121	Fee (\$) 320 320 280	Fee Code 219 220 221	Fee (\$) 160 160 140	Notice of Appeal Filing a brief in support of an appeal Request for oral hearing	
		Fee Code 138	Fee (\$) 1,510	Fee Code 138	Fee (\$) 1,510	Petition to institute a public use proceeding	
		Fee Code 140 141	Fee (\$) 110 1,280	Fee Code 240 241	Fee (\$) 55 640	Petition to revive - unavoidable Petition to revive - unintentional	
		Fee Code 142 143 144	Fee (\$) 1,280 460 620	Fee Code 242 243 244	Fee (\$) 640 230 310	Utility issue fee (or reissue) Design issue fee Plant issue fee	
		Fee Code 122 123 126	Fee (\$) 130 50 180	Fee Code 122 123 126	Fee (\$) 130 50 180	Petitions to the Commissioner Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure Stmt	
		Fee Code 581	Fee (\$) 40	Fee Code 581	Fee (\$) 40	Recording each patent assignment per property (times number of properties)	
		Fee Code 146	Fee (\$) 740	Fee Code 246	Fee (\$) 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
		Fee Code 149	Fee (\$) 740	Fee Code 249	Fee (\$) 370	For each additional invention to be examined (37 CFR § 1.129(b))	
		Fee Code 179	Fee (\$) 740	Fee Code 279	Fee (\$) 370	Request for Continued Examination (RCE)	
		Fee Code 169	Fee (\$) 900	Fee Code 169	Fee (\$) 900	Request for expedited examination of a design application	
		Other fee (specify) _____				SUBTOTAL (3) (\$) 240	
		<small>*Reduced by Basic Filing Fee Paid</small>				SUBTOTAL (3) (\$) 240	

SUBMITTED BY					
Name (Print/Type)	Ajay Pathak	Registration No. Attorney/Agent)	38,266	Telephone	202-508-9187
Signature					
Date	June 6, 2002				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.